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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 960296.97290

First Inventor or Application Identifier Alan D. Attie

Title Inhibition of Lipoprotein Secretion

Express Mail Label No. EK290771133US

PTO/SB/05 (1/00)

A

07/21/00

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

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|--|---|
| <p>1 <input checked="" type="checkbox"/> Fee transmittal Form<br/>(Submit an original and a duplicate for fee processing)</p> <p>2 <input checked="" type="checkbox"/> Specification [Total<br/><i>(preferred arrangement set forth below)</i> 13]</p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed Sponsored R&amp;D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>3 <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 2]</p> <p>4. Oath or Declaration [Total Pages 3]</p> <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly unexecuted (original or copy)</li> <li>b. <input type="checkbox"/> Copy from prior Application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 17 completed)</i><br/><b>[Note Box 5 below]</b> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed Statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ol> <p>5 <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i><br/>The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p> <p>7. Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <p><input type="checkbox"/> Computer readable Copy<br/>Paper Copy <i>(identical to computer copy)</i><br/>Statement Verifying identity of above</p> |
|--|---|

**ACCOMPANYING APPLICATION PARTS**

- |  |
|--|
| <p>8 <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)</p> <p>9 <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/><i>(where there is an assignee)</i></p> <p>10 <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>11 <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12 <input type="checkbox"/> Preliminary Amendment</p> <p>13 <input checked="" type="checkbox"/> Return receipt postcard (MPEP 503)<br/><i>(Should be specifically itemized)</i></p> <p>14 <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application<br/><input type="checkbox"/> Statement(s) <input type="checkbox"/> Status still proper and desired</p> <p>15 <input type="checkbox"/> Certified copy of priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16 <input type="checkbox"/> Other:<br/><i>* A new statement is required to pay small entity fees, except where one has been filed in a prior application and is being relied upon</i></p> |
|--|

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation     Divisional     Continuation-in-part (CIP)    of prior application no. /

Prior application information: Examiner:

Group/Art Unit:

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label *(Insert Customer No. or Attach bar code label)* or  Correspondence address

NAME	Nicholas J. Seay			
	Quarles & Brady LLP			
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CITY	Madison	STATE	WI	ZIP CODE
COUNTRY	US	TELEPHONE	608/251-5000	FAX
Name (Print/Type)		Registration No. (Attorney/Agent)		27,386
Signature		Date		July 21, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.  
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231. QBMAD\223517



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Chicago (Quarles & Brady LLC)  
Milwaukee  
Naples  
Phoenix  
West Palm Beach

July 21, 2000

Commissioner for Patents  
Box Patent Application  
Washington DC 20231

**Re: Filing New Patent Application**

Dear Sir:

Enclosed for filing please find a new patent application entitled:

**INHIBITION OF LIPOPROTEIN SECRETION**

by      Alan D. Attie  
          Donald Gillian-Daniel  
          Paul Bates

The undersigned hereby certifies that this document is being deposited with the United States Postal Service today, July 21, 2000, by the "Express Mail" service, utilizing Express Mail label number EK290771133US, addressed to: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please indicate receipt of this application by returning the attached postcard with the official Patent and Trademark Office receipt and serial number stamped thereon.

Respectfully submitted,

Enclosures  
QBMAD\223516

**FEE TRANSMITTAL**

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
 See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF \$

Application Number	
Filing Date	
First Named Inventor	Alan D. Attie
Group Art Unit	
Examiner Name	
Attorney Docket Number	

U.S. PTO 620820  
6/21/00  
106900  
106900

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 17-0055

Deposit Account Name Quarles & Brady LLP

Charge Any Additional Fees Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION (fees effective 11/10/98)****1. FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	690	208 345 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

**2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
16	-20**=	X	=
4	-3**=	X	=

Multiple Dependent Claims

\*\* or number previously paid, if greater. For reissues see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18	203 09 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim
109	78	209 39 **Reissue independent claims over original patent
110	18	210 09 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee	Description
105	130	205 65	Surcharge - late filing fee or oath
127	50	227 25	Surcharge - late provisional filing fee or cover sheet
139	130	139 130	Non-English specification
147	2,520	147 2,520	For filing a request for reexamination
112	920	112 920	Requesting publication of SIR prior to Examiner action
113	1,840	113 1,840	Requesting publication of SIR after Examiner action
115	110	215 55	Extension for reply within first month
116	380	216 190	Extension for reply within second month
117	870	217 435	Extension for reply within third month
118	1,360	218 680	Extension for reply within fourth month
128	1,850	228 925	Extension for reply within fifth month
119	300	219 150	Notice of Appeal
120	300	220 150	Filing a brief in support of an appeal
121	260	221 130	Request for oral hearing
138	1,510	138 1,510	Petition to institute a public use proceeding
140	110	240 55	Petition to revive unavoidably abandoned application
141	1,210	241 605	Petition to revive unintentionally abandoned application
142	1,210	242 605	Utility issue fee (or reissue)
143	430	243 215	Design issue fee
144	580	244 290	Plant issue fee
122	130	122 130	Petitions to the Commissioner
123	50	123 50	Petitions related to provisional applications
126	240	126 240	Submission of Information Disclosure Stmt
581	40	581 40	Recording each patent assignment per property (times number of properties)
146	690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))
149	690	249 345	For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$)

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Typed or Printed Name	Nicholas J Seay	Registration No. (Attorney/Agent)	27,386	Complete (if applicable)
Signature		Date	July 21, 2000	Telephone No. 608/251-5000